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# Studies of Low-Frequency Molecular Motions in Polymers by Neutron Inelastic Scattering 

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#### Abstract

Summary The energy distribution of a monoenergetic incident neutron beam after a single scattering event provides information concerning the molecular motions of a polymer in the region 800 to $30 \mathrm{~cm}^{-1}$. In polyethylene (Marlex 6050) several peaks are observed in the neutron spectrum between 200 and $600 \mathrm{~cm}^{-1}$. The frequencies corresponding to these peaks are in good agreement with those predicted from a calculation of the dispersion relations of the skeletal modes (bending and stretching) taking into account interchain forces. In the case of an oriented sample of the polymer, a polarization effect can be obtained by placing the momentum transfer $\bar{K}$ of the neutron parallel or perpendicular to the chain direction $\bar{S}$. This allows the identification of transverse and longitudinal modes of vibration. This effect has been studied in the case of polyethylene and polyoxymethylene. The frequency distribution of phonons, derived from the neutron data under certain approximations, were also obtained from samples of polyoxymethylene, polyacrylonitrile, and polyethylene glycol. These results are compared with the observed infrared and the calculated frequencies.


## INTRODUCTION

The technique of inelastic neutron scattering has been applied to the study of the low-frequency motions in polymers (1-7). These studies have lead to the tentative assignment of frequencies to the various characteristic vibrations of the chain. These assignments have been made on the basis of comparison with observed infrared adsorption frequencies, Raman spectra, and various normal mode calculations. The polymer most intensively studied with this tech-

[^0]nique has been polyethylene (PE) (2-7). The simplicity of its structure, transplanar, and the fact that the chemical unit $\left(\mathrm{CH}_{2}\right)$ contains three atoms, leads to a relatively small number (9) of normal modes for the chain. PE is also the only polymer for which a complete normal-mode calculation of the nonfactor group frequencies as a function of $\delta$ (phase difference between two adjacent $\mathrm{CH}_{2}$ units) has thus far been performed $(8,9)$. These calculations can be used to derive the frequency distribution of the lattice, which can in turn be compared with the frequency distribution derived through an approximation from the neutron scattering data. The possibility of using the neutron measurements to verify the results of the calculations of the various modes which are in a frequency range unattainable at the present time by optical techniques or which are optically inactive, together with the questions which have arisen as to the validity of such calculations, make the technique of neutron inelastic scattering a useful and promising tool in molecular spectroscopy.

## NEUTRON SCATTERING THEORY

The relationship between the vibrational motions of the sample and the scattering cross section of neutrons has been investigated quite extensively (10). The inelastic scattering of neutrons is the process in which the energy of the neutron is altered due to an absorption or emission of one or more phonons. One can further classify the scattering into coherent and incoherent scattering. Coherent scattering is that type which exhibits interference effects analogous to the diffraction of light. Not all nuclei scatter neutrons coherently and, in particular, the hydrogen nucleus scatters neutrons almost completely incoherently. In addition, the scattering cross section of hydrogen ( 81.5 barns) is much larger than that of carbon ( 5.5 barns). It is, therefore, a good approximation to say that hydrogenous polymers scatter neutrons via the hydrogen nuclei and incoherently. We will therefore limit our attention to the inelastic incoherent scattering of neutrons.

The cross section can be expanded into a series of terms expressing the probabilities for absorption (or emission) of one or several phonons:

$$
\begin{equation*}
d^{2} \sigma / d \Omega d E=\sum_{l} d^{2} \sigma(l) / d \Omega d E \tag{1}
\end{equation*}
$$

where $l$ is the number of phonons involved in the interaction. The largest contribution to scattering is from the single phonon $(l=1)$ term with each successive term diminishing in magnitude.

Now, in general, the moiion of the various nuclei in a crystal can be treated as a superposition of plane waves (phonon) characterized by their wave vector $\bar{q}$, frequency $\omega_{j}(\bar{q})$, and polarization vector $\bar{C}_{j}^{k}(\bar{q})$. The subscript $j$ refers to the $j$ th branch of normal modes and $k$ to the $k$ th nucleus in the unit cell. The vibrational properties of a crystal can also exhibit themselves in terms of the vibrational frequency distribution $g(\omega)$, which expresses the density of eigenstates as a function of frequency. The relationship between $g(\omega)$, $\omega$, and $\bar{q}$ is given by

$$
g(\omega)=\left(V_{0} / N\right) \Sigma_{j} \int\left(d S /\left|\nabla_{\bar{q}} \omega_{j}(\bar{q})\right|\right)
$$

where $V_{0}$ is the volume of the unit cell and $N$ the number of nuclei in the unit cell. The integration is carried out over surfaces of constant $\omega$. The thermodynamic properties of a crystal can be calculated from the frequency distribution, so this function is of considerable importance.

In general, the neutron scattering cross section is not expressable in terms of $g(\omega)$ but rather must be expressed as a function $\omega_{j}, \bar{q}$, and $\bar{C}_{j}^{k}$. The expression for the one-phonon absorption cross section has been derived by Sjölander (10) to be

$$
\begin{align*}
\frac{d^{2} \sigma^{(1)}}{d \Omega d \omega} & =\sum_{n} A_{n} \frac{k_{f}}{k_{0}} e^{-2 W_{n}} \frac{\hbar^{2} K^{2}}{2 M_{n}} \frac{1}{N} \sum_{j} \frac{\operatorname{coth}\left(\beta \omega_{j}\right)+1}{2 \omega_{j}} \\
\bar{K} & =\bar{k}_{f}-\bar{k}_{0} \tag{2}
\end{align*}
$$

where $A_{n}$ is the scattering cross section of the $n$th nucleus in the unit cell, $k_{0}$ and $k_{f}$ are the wave vectors of the incident and scattered neutrons, $M_{n}$ the mass of the $n$th nucleus, $\beta=\hbar / k_{B} T$, and $\bar{e}_{k}$ is a unit vector in the direction of $\bar{K}$. The term $e^{-2 W_{n}}$ is the wellknown Debye-Waller factor and is given by

$$
\begin{equation*}
2 W_{n}=\frac{\hbar^{2} K^{2}}{2 M_{n}} \frac{1}{N} \sum_{j} \omega_{j}^{-1} \operatorname{coth}\left(\beta \omega_{j}\right)\left|\bar{e}_{K} \cdot \bar{C}_{j}^{n}\right|^{2} \tag{3}
\end{equation*}
$$

As can be seen from the above equations, an exact calculation of the scattering cross section would require a knowledge not only of the eigenfrequencies $\omega_{j}$ but also of the eigenvectors $C_{j}^{n}$. For a simple crystal this calculation is difficult, and it becomes quite cumbersome for a molecule like polyethylene.

Under certain conditions it can be shown that a simpler equation for the cross section constitutes a valid approximation. Tarasov (11) has shown that a polycrystalline sample which is isotropic has approximately the same scattering characteristics as a cubic lattice. These changes in Eq. (2) would consist of averaging over crystal orientation and setting

$$
\begin{equation*}
\sum_{j} \bar{C}_{j x}^{n} \cdot \bar{C}_{j y}^{n}=\delta_{x y} \tag{4}
\end{equation*}
$$

The result of these approximations is

$$
\begin{equation*}
\frac{d^{2} \sigma^{(1)}}{d \Omega d \omega}=A \frac{k_{f}}{k_{0}} e^{-2 W} \frac{\hbar^{2} K^{2}}{2 M} \frac{g(\omega)}{\omega} \frac{\delta\left(\omega_{j}-\omega_{0}+\omega\right)}{\left(e^{\beta \omega}-1\right)} \tag{5}
\end{equation*}
$$

where here $M=\sum_{n} M_{n}$, the mass of the unit cell.
Equation (5) relates the scattering cross section to the frequency distribution function $g(\omega)$ directly. This is a considerably simpler expression than Eq. (2). The expressions for $W_{n}$ and the cross section for two phonon processes can also be derived more simply using this approximation.
The general feature of the neutron scattering technique can be seen by inspection of Eq. (5), the most notable being that for isotropic polycrystalline samples there exist no selection rules to limit the observation of vibrations. However, if the sample has some preferred orientation, the term $\left|\bar{e}_{K} \cdot \bar{C}_{j}^{n}\right|^{2}$ in Eq. (2) will influence the heights of the various peaks which correspond to motion along different directions in the crystal.

## Experimental Technique

The instrument used in the present study is a conventional time-of-flight spectrometer. It has been described in detail in the literature (2). For completeness, a short description of the spectrometer will be given here. Figure 1 is a schematic drawing of the instrument. The beam of neutrons from the core of the U.S. Army Materials Research reactor (1 MW light-water-moderated) is passed


FIG. I. Schematic drawing of the time-of-flight spectrometer.
through a 16 -inch sample of polycrystalline beryllium. The Be acts as a low-pass filter, allowing only those neutrons whose energy is less than $0.0052 \mathrm{eV}\left(42 \mathrm{~cm}^{-1}\right)$ to be transmitted. This quasi-monochromatic beam impinges upon the sample $S$. The neutrons scattered by the sample through an angle of $90^{\circ}$ are energy-analyzed by measuring their flight time across a fixed distance ( 5 m ). This is accomplished by "chopping" the beam with a set of rotating slits C, which provide an initial time from which to measure the flight time to the detector. The spectrum is recorded by a 256 -channel analyzer whose channel width is $32 \mu \mathrm{sec}$.

## Polyethylene

The vibrational modes of the polyethylene chain have been extensively investigated by various techniques. Infrared and Raman spectra have been used to identify the high-frequency motions ( $>700 \mathrm{~cm}^{-1}$ ), and normal coordinate calculations have been successful in reproducing the observed frequencies (8). These calculations have led to a reliable set of force constants for the extended
chain. Only the very low frequency chain modes ( $<600 \mathrm{~cm}^{-1}$ ) have not been susceptible to investigation by optical techniques. The selection rules which govern the interaction of light with solids forbids direct observance of some of these frequencies. It should be pointed out that the selection rules are symmetry-dependent. A lifting of the symmetry by allowing the polymer to take on conformations other than the transplanar would make some frequencies active. This indeed has been observed for polyethylene in which broad bands centered at 600 and $200 \mathrm{~cm}^{-1}$ have been observed (12).
The neutron scattering technique has been applied to the study of these modes $(2-7)$. In all the work done to date, the spectra have been analyzed using Eq. (5). The frequency distribution of Danner et al. (2) is reproduced in Fig. 2. This function was derived from a spectrum obtained with a time-of-flight spectrometer, of a highdensity sample of Marlex 6050 held at liquid-nitrogen temperature. The high-frequency limits of the two modes $\nu_{5}$ and $\nu_{9}(8)$ were taken at $520 \pm 15$ and $190 \pm 10 \mathrm{~cm}^{-1}$, respectively, corresponding to the peaks shown in Fig. 2. Subsequent measurements using both time-of-flight techniques and a triple crystal spectrometer have confirmed the existence of these peaks in the neutron spectra. The assignments of the remaining peaks in the spectra have been more tentative.

Recently, Myers et al. (7) have performed measurements on a


FIG. 2. Frequency distribution of polyethylene derived by Danner et al. (2). The vibrational frequencies are indicated in $\mathrm{cm}^{-1}$.


FIG. 3. Schematic drawing of the sample orientation used in the present measurement. $\bar{k}_{i}$ and $\bar{k}_{f}$ and $\bar{S}$ are, respectively, the wave vectors of the incident and final neutrons and the orientation direction of the sample. The function $\left|\bar{e}_{K} S\right|^{2}$ is plotted as a function of energy transfer in $\mathrm{cm}^{-1}$.
stretch-oriented sample of the polymer. The experiment was designed to test the effect of the term $\left|\bar{e}_{\kappa} \cdot \bar{C}_{j}^{n}(\bar{q})\right|^{2}$ in Eq. (2). The momentum transfer $K$ was placed alternately parallel and perpendicular to the chain direction. Vibrational modes for which the hydrogen atoms move perpendicular to the chain ( $\nu_{9}$ ) would not contribute to the scattering when $\bar{e}_{K}$ is parallel to the chain. Similarly, $\nu_{5}$ should not contribute to the scattering when $\bar{e}_{K}$ is perpendicular to the chain axis. The peak at $520 \mathrm{~cm}^{-1}$ was shown to be a longitudinal mode and its assignment to $\nu_{5}$ was confirmed. The peak at $190 \mathrm{~cm}^{-1}$ was, however, not completely eliminated when $\bar{e}_{K}$ was placed parallel to the chain. This threw some doubt on the assignment of this to $\nu_{9}$. The measurement of neutron scattering from an oriented sample of polyethylene was repeated using the time-offlight spectrometer. The sample of the polymer was oriented by stretching and rolling. ${ }^{*}$ X-ray diffraction measurements show that

[^1]the polymer chains are aligned parallel to the long direction of the sample with a distribution characterized by an angular width of about $4.7^{\circ}$ at half-maximum. Although it is not possible to place $\bar{e}_{K}$ exactly parallel (or perpendicular) to the chain axis for all values of energy transfer, a satisfactory effect can be obtained with but one orientation. The orientation which was used is drawn schematically in Fig. 3. The function $\left|\bar{e}_{K} \cdot \bar{S}\right|^{2}$ is given in this figure as a function of energy transfer for the two cases in which transitions are allowed or forbidden according to whether the polarization vectors are parallel or perpendicular to $\bar{K}$, respectively. As can be seen from the figure, the effective selection rules are operative to a satisfactory degree. The results of the measurement in which the sample temperature was $293^{\circ} \mathrm{K}$ are shown in Fig. 4. The time-of-flight spectrum is plotted as a function of energy transfer in $\mathrm{cm}^{-1}$. The general features of the spectra confirm the results of Myers et al. The mode $\nu_{5}$ is clearly identified. Again, the peak at $190 \mathrm{~cm}^{-1}$ is not completely eliminated by placing $\tilde{e}_{K}$ parallel to the chain. However, there is a definite peak at $240 \mathrm{~cm}^{-1}$ in the perpendicular measurement which seems to be eliminated in the parallel one. It is therefore suggested that this peak at $240 \mathrm{~cm}^{-1}$ be assigned to $\nu_{9}$. This is in agreement


FIG. 4. Results of the measurements on the oriented sample of PE. The time-of-flight spectrum is plotted as a function of energy transfer in $\mathrm{cm}^{-1}$.


FIG. 5. Frequency distribution of PE as calculated by Kitagawa and Miyazawa (9).
with a recent calculation by Tasumi and Shimanouchi (8) of the frequency vs. phase curves for an extended polyethylene chain in the crystalline field of its neighbors. This calculation shows the effect of the crystalline field on the chain modes and in particular predicts the splitting of $\nu_{9}$ into two rather well separated branches. The high-frequency limits of the two branches are given as 240 and $225 \mathrm{~cm}^{-1}$ On the other hand, Kitagawa and Miyazawa (9) have also performed such a calculation. The frequency distribution they obtained is reproduced in Fig. 5. The high-frequency limits of $\nu_{5}$ and $\nu_{9}$ are given as 570 and $200 \mathrm{~cm}^{-1}$ and no splitting is shown for $\nu_{9}$. This discrepancy in the two calculations seems to be due to the values of the crystalline force constants used by the different authors, the former author using a substantially stronger field. The present measurement seems to favor the results of Tasumi and

Shimanouchi, although the observation of the splitting of $\nu_{9}$ is not apparent. This may be due in part to the resolution of the instrument used in the present measurement.
In addition to the frequencies due to the chain modes, three additional peaks are predicted, as shown in Fig. 5. The broad peak at $140 \mathrm{~cm}^{-1}$ is due to over-all rotatory vibration of the chains about the axis. The peak at $90 \mathrm{~cm}^{-1}$ is due to antiparallel translatory vibrations of adjacent chains perpendicular to the chain axis, and the peak near $60 \mathrm{~cm}^{-1}$ is due to antiparallel translatory vibrations parallel to the chain axis. The time-of-flight spectrum obtained by Danner et al. shows a peak at $240 \mathrm{~cm}^{-1}$ for which no interpretation was provided. If we assign this peak to $\nu_{9}$, there are three peaks below $\nu_{9}$ which could be assigned to the three lattice peaks of Kitagawa and Miyazawa. The resolution of the spectrometer, which is a function of neutron energy, becomes rather poor for energy transfer above about $200 \mathrm{~cm}^{-1}$. To obtain a more accurate value of $g(\omega)$, these resolution effects should be removed if possible from the data. In the present work, the time-of-flight spectrum is calculated from $g(\omega)$ in the one-phonon approximation using Eq. (5), and the spectrum is broadened according to a Gaussian shape. In this manner, the data of Danner et al. is reproduced from the empirically con-


FIG. 6. Frequency distribution used to fit the data of Danner et al. (2).


FIG. 7. Time-of-flight distribution of neutrons scattered at $T=100^{\circ} \mathrm{K}$ by a sample of PE (Marlex 6050). The solid line is the result of the calculation in which instrumental resolution and incident line shape are taken into account in reproducing the data.
structed frequency distribution shown in Fig. 6. The results of this calculation are shown as the solid line of Fig. 7, where the points are the observed spectrum. It can be seen that a peak is required in $g(\omega)$ at $240 \mathrm{~cm}^{-1}$ to reproduce the corresponding structure in the spectrum. Table 1 shows a comparison between the calculations of Tasumi and Shimanouchi and Kitagawa and Miyazawa, and the present assignments. The observed frequencies of the lattice modes are generally higher than those of the calculations. The calculations were performed for a room-temperature sample while the experiment was performed at $100^{\circ} \mathrm{K}$. The observed frequencies are thus expected to be higher.

There is another feature of the frequency distribution proposed in the present work which must be explained. This is the discrep-
table 1
Comparison of the Vibrational Frequencies Obtained by Neutron Scattering Measurements with the Results of Calculations by Tasumi and Shimanouchi and Kitagawa and Miyazawa

| Neutron | Tasumi and <br> Shimanouchi | Kitakawa and <br> Miyazawa | Assignment |
| :--- | :---: | :---: | :--- |
| 520 | 520 | 510 | $\nu_{5}$ |
| 320 |  |  |  |
| 240 | 240 | 200 | $\nu_{9}^{n}$ |
| 190 (broad) | 225 | 140 | Rotatory vibrations |
| 140 (broad) | 160 |  |  |
| 60 (broad) | 70 | 90 | Translation $\perp$ axis |
|  |  | 60 | Translation \\| axis |

ancy in the relative sizes of the observed peaks corresponding to the various motions. It will be noted that the peak corresponding to $\nu_{5}$ is larger than that due to $\nu_{9}$, whereas the calculations of $g(\omega)$ shows just the opposite effect. Also, the size of the peaks due to rotatory motions and translation perpendicular to the chain axis are much larger than that due to translation along the chain. This raises the question of the accuracy of the proposed frequency distribution in reflecting the relative heights of the various peaks. The additional broad band centered at $325 \mathrm{~cm}^{-1}$ falls in the same category. There are several possible explanations:

1. The sample of polyethylene used in this measurement was high-density Marlex 6050. The sample certainly contained regions of disorder and defects. The influence of these regions on the motions of the ideal extended chain in the well-ordered crystalline field of its neighbors could possibly give rise to some of these discrepancies. The spectrum obtained from a sample of polyethylene crystals grown from solution also exhibits the broad band at 325 $\mathrm{cm}^{-1}$ (5). This measurement would suggest that the amorphous regions are not responsible for this band, but since the sample consists of folded chains, the influence of fold defects cannot be eliminated.
2. These peaks could also correspond to combinations or multiple scattering, which can be observed in the neutron spectra although they are expected to be very weak.
3. Possibly the more reasonable explanation is that the approxi-
mate expression [Eq. (5)] used to obtain $g(\omega)$ from the data constitutes a rather poor approximation. The effect of the amplitude of vibration on the cross section might produce this discrepancy in the relative heights of the observed structure. Only an exact calculation of the cross section can detect the size of this effect.
The specific heat of crystalline polyethylene has been obtained by Wunderlich (13). The calculation of this quantity from any proposed frequency distribution should constitute a test of its validity. Kitagawa and Miyazawa calculated the specific heat up to $150^{\circ} \mathrm{K}$ using their frequency distribution and obtained quite a good fit. In the present work, the specific heat has been calculated using the frequency distribution of Fig. 6. The results are shown in Fig. 9. As can be seen, the agreement is rather poor. A second calculation is performed using a frequency distribution obtained in the following manner. The relative height of the various peaks are chosen to conform with the calculation of Kitagawa and Miyazawa but their


FIG. 8. Frequency distribution of polyethylene constructed as described in the text.


FIG. 9. Comparison of the measured specific heat of polyethylene with various calculations.
energies are chosen from the neutron scattering data. This yields a frequency distribution shown in Fig. 8 and a calculated specific heat in Fig. 9. The agreement is much better in the latter case. The specific heat obtained by Kitagawa and Miyazawa is also shown for comparison.

In summary, there is some evidence from the measurements on the oriented sample of polyethylene that the high-frequency limit of $\nu_{8}$ could occur at $240 \mathrm{~cm}^{-1}$ and the three peaks below this would
then be assigned to the lattice modes. These frequencies agree with those predicted by the latest calculation of Tasumi and Shimanouchi.

## Polyethyleneoxide

The helical structure of polyethyleneoxide (PEO) has been well established as containing seven chemical units $\left(\mathrm{CH}_{2}-\mathrm{CH}_{2}-\mathrm{O}\right)$ and two turns in the fiber identity period (14). Infrared and Raman data have been analyzed using this conformation and good agreement has been obtained ( 14 ; see Table 2). The number of frequencies given in these calculations, although small, are sufficient to identify the majority of the peaks observed in the neutron spectrum. Figure 10 shows the frequency distribution of a sample of PEO (Carbowax, average molecular weight 20,000 ) at $293^{\circ} \mathrm{K}$ derived from the neutron spectrum. A second sample with a different molecular-weight distribution (Carbowax 6000) was also studied and some small differences were observed between the two spectra. OCC and COC bending combine out of phase to give the peaks at

TABLE 2
Comparison of Vibrational Frequencies in PEO Observed by Raman, Infrared, and Neutron Measurements with the Calculated Values ${ }^{a}$

| IR | Raman | Calc. | Neutrons | Assignments ${ }^{\text {b }}$ |
| :---: | :---: | :---: | :---: | :---: |
| 1060 |  | 1041 |  |  |
|  |  | 954 |  | $\nu(\mathrm{CC})(58)+\nu(\mathrm{CH})_{\text {t }}(25)$ |
|  | 860 | 876 |  | $r\left(\mathrm{CH}_{2}\right)_{i}(53)-\nu(\mathrm{CO})_{i}(32)$ |
|  | 845 | 856 | 868 | $r\left(\mathrm{CH}_{2}\right)_{0}(79)$ |
| 532 |  | 530 | 535 | $\delta(\mathrm{OCC})_{o}(96)$ |
| 530 | 531 | 494 |  | $\delta(\mathrm{OCC})_{i}(65)+\delta(\mathrm{OCC})_{i}(15)$ |
|  | 360 | 366 |  | $\delta(\mathrm{COC})(43)+\delta(\mathrm{OCC})_{i}(23)$ |
|  |  | 350 | 310-380 |  |
|  | 275 | 270 |  | $\delta(\mathrm{OCC})_{i}(25)+\delta(\mathrm{COC})(21)-\tau(\mathrm{CC})(14)$ |
| 215 |  | 221 | 228 | $\delta(\mathrm{COC})(47)+\tau(\mathrm{CC})(26)+\delta(\mathrm{OCC})_{0}(16)$ |
| 165 |  | 162 |  | $\tau(\mathrm{CC})(33)+\tau(\mathrm{CO})_{\theta}(25)+\delta(\mathrm{OCC})_{i}(22)$ |
| 106 |  | 116 | 120 | $\tau(\mathrm{CO})_{0}(100)$ |
|  |  | 100 |  | $\tau(\mathrm{CO})_{i}(80)$ |
|  |  |  | 80 |  |
|  |  |  | 51 |  |

[^2]

FIG. 10. Frequency distribution derived from the neutron data for a sample of polyethyleneoxide (Carbowax average molecular weight 20,000 ). The observed infrared frequencies are indicated together with their assignment.
$535 \mathrm{~cm}^{-1}$ and in phase to give the broad band centered at $310 \mathrm{~cm}^{-1}$. The broad region below $200 \mathrm{~cm}^{-1}$ is likely to contain a rather complicated combination of torsion and possibly some lattice vibrations, although these probably lie below $100 \mathrm{~cm}^{-1}$. A more definite assignment of the various structure visible in the frequency distribution will be attempted upon completion of the normal coordinate calculations in progress at the present time.

## Polyacrylonitrile

The conformation and tactic structure of polyacrylonitrile (PAN) have not been unambiguously determined because of the generally diffuse X-ray diffraction patterns. Bohn et al. (15) have interpreted
this result as indicating the existence of no valid repeat distance along the chain. There is general agreement about a lateral packing of cylinders within which the chain exists but no evidence that the chains assume any specific structure in these cylinders. Treatment of the sample with heat changes the lateral order from hexagonal to orthorhombic. There are at least two reported transition temperatures in PAN at 87 and $140^{\circ} \mathrm{C}$. Andrews and Kimmel (16) have studied the $140^{\circ} \mathrm{C}$ transition with birefrigerance and show that an irreversible ordering occurs when the polymer is heated above this temperature and allowed to cool. These results are interpreted by these authors as due to the loosening and reformation of a dipole association structure. Bohn et al. have also noted this ordering upon heating from the X-ray measurements. There is, however, no reported increase in the longitudinal order upon heating.
The infrared spectra of PAN have been obtained by several investigators $(17,18)$ and have analyzed with some degree of success using a transplanar model for the chain. There are differences in the observed frequencies and, since different tactic structures were assumed, differences in the assignments of various bands. Yamadera et al. (18) conclude from the results of infrared and normal coordinate calculation that it may be possible that PAN contains a structure very close to the syndiotactic planar zigzag but that enough discrepancies exist so that deviation from this structure cannot be eliminated (see Table 3).
In the present work the spectra of neutrons inelastically scattered from two samples of PAN have been obtained. Sample $1^{*}$ is in the form of a fine-grain powder. The X-ray diffraction pattern of this sample shows a large, rather sharp reflection corresponding to a spacing of about $5.2 \AA$ and a weaker reflection corresponding to a spacing of approximately $3 \AA$. There is a general diffuse scattering covering a large portion of the pattern. Sample II $\dagger$ is a film cast from solution ( $\mathrm{H}_{2} \mathrm{O}$ and NaSCN ). The X-ray pattern of this sample again shows the $5.2-\AA$ reflection but it is considerably weaker and much broader. The $3-\AA$ reflection is almost completely lost in the greatly increased diffuse scattering. Upon heating above $150^{\circ} \mathrm{C}$, however, the X-ray spectrum of sample II sharpens and becomes similar to that of sample I. The frequency distributions derived

[^3]table 3
Comparison of Vibrational Frequencies in PAN Observed by Infrared and Neutron Measurements with Calculated Values ${ }^{a}$

| Neutrons | Infrared | Calc. | Assignment ${ }^{\text {b }}$ |
| :---: | :---: | :---: | :---: |
| 1210 (broad) | 1247 (\||) | 1189 | $\omega(\mathrm{CH})(40)+\omega\left(\mathrm{CH}_{2}\right)(29)-\nu_{a}(\mathrm{CC})(26)$ |
|  | 1115 (1) | 1101 | $\nu_{s}(\mathrm{CC})(33)-\delta(\mathrm{CH})(20)$ |
|  | 1073 ( 1 ) | 1080 | $\nu_{s}(\mathrm{CC})(69)$ |
|  |  | 1060 | $r(\mathrm{CH})(47)-\delta(\mathrm{C}-\mathrm{C}-\mathrm{CN})(21)$ |
|  | 1015 (\||) | 1018 | $\omega(\mathrm{CH})(57)+\nu_{a}(\mathrm{CC})(26)$ |
|  |  | 999 | - $t(\mathrm{CH})(59)$ |
| 800 (broad) | 865 (\||) | 847 | $r(\mathrm{CH})(91)$ |
|  | 778 ( 1 ) | 796 | $\nu(\mathrm{C}-\mathrm{CN})(48)+t\left(\mathrm{CH}_{2}\right)(34)$ |
|  |  | 764 | $\nu(\mathrm{C}-\mathrm{CN})(59)-r\left(\mathrm{CH}_{2}\right)(28)$ |
| 565 | 570 (1) | 551 | $\delta(\mathrm{C}-\mathrm{C}-\mathrm{CN})(53)-\delta(\mathrm{C}-\mathrm{C}-\mathrm{N})(22)$ |
|  | 537 ( 1 ) | 518 | $\delta(\mathrm{C}-\mathrm{C}-\mathrm{CN})(34)-\delta(\mathrm{C}-\mathrm{C}-\mathrm{N})(37)$ |
|  | 520 (no) | 524 | $\omega(\mathrm{C}-\mathrm{C}-\mathrm{CN})(45)-\omega(\mathrm{C}-\mathrm{C}-\mathrm{N})(40)$ |
| 380 |  |  |  |
| 338 |  | 357 | $\delta(\mathrm{C}-\mathrm{C}-\mathrm{C})(65)$ |
| 280 | 260 ( 1 ) | 271 | $\begin{aligned} & \delta(\mathrm{C}-\mathrm{C}-\mathrm{N})(58)+\delta(\mathrm{C}-\mathrm{C}-\mathrm{CN})(19) \\ & \quad-\delta(\mathrm{C}-\mathrm{C}-\mathrm{C})(19) \end{aligned}$ |
|  |  | 246 | $\delta(\mathrm{C}-\mathrm{C}-\mathrm{N})(63)+\delta(\mathrm{C}-\mathrm{C}-\mathrm{CN})(28)$ |
| 170 |  | 204 | $\omega(\mathrm{C}-\mathrm{C}-\mathrm{N}) 54+\omega(\mathrm{C}-\mathrm{C}-\mathrm{CN})(40)$ |
| 85 |  |  |  |
| 50 |  |  |  |

${ }^{a}$ The possible assignments are taken from the paper of Yamadera et al. and Miyazawa (18). The frequencies are expressed in $\mathrm{cm}^{-1}$.
${ }^{b} \nu$, stretching; $\nu_{8}$, symmetric stretching; $\nu_{a}$, antisymmetric stretching. $\delta$, bending; $\omega$, wagging; $r$, rocking; $t$, twisting.
from the neutron measurements are shown in Fig. 11. The peaks centered at 560 and $265 \mathrm{~cm}^{-1}$ agree with infrared lines observed in these energy regions and can be assigned to low-lying optical modes (bending or wagging). The $g(\omega)$ displays a minimum at $\omega=$ $430 \mathrm{~cm}^{-1}$, where an infrared line has been reported (17). These two observations, however, are not necessarily in disagreement. Some of the optically active modes need not occur in regions where there exist a large density of states. The broad shoulder extending from approximately 50 to $160 \mathrm{~cm}^{-1}$ covers the frequency range in which the low-energy acoustic modes are expected. Any specific structure in this part of the spectrum is taken as an indication of the degree of order along the chain, as these low-energy acoustic modes are


FIG. 11. Frequency distribution of the two samples of polyacrylonitrile derived as discussed in the text. The observed infrared frequencies are indicated together with their assignment.
expected to be most sensitive to chain order and would be the first to be broadened upon disordering of the chain. The differences in the neutron spectra of the two samples are striking. The two bands due to the optical modes are sharpened and the low-energy shoulder is better defined in sample I than in sample II. The relatively high degree of order observed in sample I reflects polymerization directly to a powder without passing through a solution or melt. The fact that the neutron spectrum of sample II becomes similar to that of sample I after a temperature cycling up to $150^{\circ} \mathrm{C}$
indicates the existence of chain ordering, which increases irreversibly as the temperature is raised through the transitions. This chain ordering, which has not been suspected in the X-ray studies, may be a consequence of the better packing of the chains. Since no change in tacticity is expected to take place with increasing temperature, this ordering along the chain probably corresponds to an increase in length of the segments of the chain in a transplanar configuration.

## Polyoxymethylene

The molecule of polyoxymethylene (POM) takes a helical conformation and contains nine monomer units $\left(\mathrm{CH}_{2} \mathrm{O}\right)$ per identity period (19). Infrared and Raman spectra of this polymer have been obtained by several investigators (20), the lowest reported vibrational frequency being $235 \mathrm{~cm}^{-1}$. Normal coordinate calculations have been performed in several of these works to make the band assignments. Several fundamental vibrations of the isolated chain are expected to occur below $200 \mathrm{~cm}^{-1}$.

The neutron spectrum of a commercial sample of $\mathrm{POM}^{\circ}$ in powder form was obtained at room temperature. The frequency distribution derived from this measurement is shown in Fig. 12(a). The structure below $200 \mathrm{~cm}^{-1}$ is due to the acoustic modes of the chains, which are optically inactive. The three lowest vibrational frequencies corresponding to optical modes which have been observed by infrared are approximately 237,483 , and $634 \mathrm{~cm}^{-1}$ (see Table 4).

To attempt an assignment of the bands observed in the neutron spectrum, additional measurements were obtained from an oriented sample of POM. ${ }^{\text {. }}$ In this case, the momentum transfer $\bar{K}$ of the scattered neutron was placed alternatively parallel and perpendicular to the chain axis. The resulting frequency distributions reflect, as was shown in the case of oriented polyethylene, vibrations in which hydrogen atoms move along or transverse to the chain axis. The two frequency distributions obtained for POM are shown in Fig. 12(b) and (c). The peaks at 403 and $180 \mathrm{~cm}^{-1}$ correspond to longitudinal motions. Their intensity decreases appreciably when $\bar{K}$ is perpendicular to the direction of the chain axis. A

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FIG. 12. (a) Frequency distribution of $P O M$ derived from the time-of-flight spectrum of neutrons scattered by a powder sample of the polymer at room temperature. (b) Frequency distribution of transverse modes. (c) Frequency distribution of longitudinal modes. The observed infrared frequencies are indicated in the lower portion of the figures together with their assignment.
normal coordinate calculation indicates that the peak at $180 \mathrm{~cm}^{-1}$ can be assigned to a mode analogous to the mode $\nu_{5}(\mathrm{C}-\mathrm{C}-\mathrm{C}$ bending and stretching) in polyethylene. The peak at $430 \mathrm{~cm}^{-1}$ is assigned to $\mathrm{C}-\mathrm{O}-\mathrm{C}$ bending mixed with $\mathrm{CH}_{2}$ rocking. It may correspond to a peak at $480 \mathrm{~cm}^{-1}$ in the infrared spectrum. The peak around $80 \mathrm{~cm}^{-1}$ in the neutron spectrum is observed with both polarizations. It may correspond to a mode similar to the mode $\nu_{9}(\mathrm{C}-\mathrm{C}$ torsion) in polyethylene. A shoulder is also observed
table 4
Comparison of the Vibrational Frequencies in POM Observed by Infrared and Neutron Measurements with the Calculated Values ${ }^{a}$

| Neutron | Infrared | Calc. | Assignment $^{b}$ |
| :---: | :---: | :---: | :--- |
| 1045 | 1097 | 1118 | $\nu_{a}(\mathrm{COC})(77)+r\left(\mathrm{CH}_{2}\right)(19)$ |
|  | 1091 | 1072 | $\nu_{a}(\mathrm{COC})(70)-\delta(\mathrm{OCO})(26)$ |
| 844 | 903 | 922 | $\left.r \mathrm{CH}_{2}\right)(75)-\nu_{a}(\mathrm{COC})(21)$ |
|  | 932 | 930 | $\nu_{s}(\mathrm{COC})(74)+r\left(\mathrm{CH}_{2}\right)(23)$ |
| 568 | 630 | 634 | $\delta(\mathrm{OCO})(74)+\nu_{a}\left(\mathrm{COC}^{2}\right)(20)$ |
| 470 | 455 | 483 | $\delta(\mathrm{COC})(64)-r\left(\mathrm{CH}_{2}\right)(28)$ |
| 288 |  |  |  |
| 248 | 235 | 237 | $\tau_{a}(96)+r(\mathrm{CH})(3)$ |
| 208 |  |  |  |
| 180 |  |  |  |
| 97 |  | 22 | $\tau_{s}(56)-\tau_{a}(40)$ |
| 80 |  |  |  |

${ }^{a}$ The possible assignments are taken from Tadokoro et al. The frequencies are expressed in $\mathrm{cm}^{-1}$.
${ }^{\delta} \nu$, stretching; $\nu$, symmetric stretching; $\nu_{a}$, antisymmetric stretching; $\tau$, torsion; $\tau_{s}$, symmetric torsion; $\tau_{a}$, antisymmetric torsion; $\delta$, bending; $r$, rocking.
in the neutron spectrum around $130 \mathrm{~cm}^{-1}$. The infrared frequency at $235 \mathrm{~cm}^{-1}$ has been assigned to a torsional vibration. The neutron spectrum in that frequency region shows only a broad distribution which is not well resolved from the $180 \mathrm{~cm}^{-1}$ band. There is also evidence of some structure in the 500 to $600 \mathrm{~cm}^{-1}$ range which might correspond to infrared inactive frequencies.

These measurements provide a useful basis, in addition to the infrared results, for a calculation of the frequency distribution of the vibrations of a POM chain.

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## Zusammenfassung

Die Energieverteilung eines mono-energetischen Neutronenstrahls lässt nach einem einzigen Streuungsvorgang Schlüsse zu auf die Molekularbewegungen eines Polymeren im Bereich von 800 bis $30 \mathrm{~cm}^{-1}$. In Polyethylen (Marlex 6050) wurden im Neutronenspektrum im Bereich von 200 bis $600 \mathrm{~cm}^{-1}$ mehrere Banden beobachtet. Die diesen Banden
entsprechenden Frequenzen stimmen gut mit vorausgesagten Wertenüberein die aus den Dispersion Beziehungen der Skelettschwingungen (bending und stretching) unter Berücksichtigung von zwischen Ketten auftretenden Kräften berechnet wurden. Im Falle einer orientierten Probe eines polymeren Materials kann ein Polarisationseffekt dadurch erhalten werden, indem die Momentiubertragung der Neutronen $\bar{K}$ paralell oder senkrecht zur Richtung der Ketten $\bar{S}$ gelegt wird. Dadurch können transversale und longitudinale Schwingungen identifiziert werden. Dieser Effekt wurde in Polyethylen und Polyoxymethylen untersucht. Die aus den Neutronendaten unter bestimmten Näherungen abgeleitete Frequenzverteilung der Phononen wurden auch in Proben von Polyoxymethylen, Polyacrylnitril und Polyäthylenglycol erhalten. Diese Ergebnisse werden mit ben beobachteten und berechneten IR Frequenzen verglichen.

## Résumé

La distribution d'énergie d'in faisceau incident monoénergétique de neutrons fournit après une seule dispersion des reinsegnements concernant les mobilités moléculaires d'un polymère dans la region de 800 à $30 \mathrm{~cm}^{-1}$. On a observé plusieurs maxima dans le spectre des neutrons entre 200 et $600 \mathrm{~cm}^{-1}$ pour le polyethylène (Marlex). Les fréquences qui corrèspondent à ces maxima sont en bon accord avec les valeurs calculées à l'aide des relations de dispersions des modes de vibrations du squelette (flexion et allongement), tenant compte des forces entre les chaines. Dans les cas d'un échantillon orienté du polymère, on peut obtenir un effet polarisant en mettant le moment de transfert $\bar{K}$ du neutron parallèlement ou perpendiculiérement à la direction $\bar{S}$ de la chaîne. Cela permet l'identification des modes de vibration transversales et longitudinales. On a étudié cet effet dans le cas de polyéthylène et polyoxyméthylène. On a aussi obtenu la distribution de fréquence des phonones, par derivation des données du neutron sous certains approximations, pour des echantillons de polyoxyméthylène, de polyacrylonitrile et de polyéthylène glycol. On a comparé ces résultats avec les fréquences observées dans l'infrarouge et les fréquences calculées.


[^0]:    * Presently guests at Army Materials Research Agency, Watertown, Mass.

[^1]:    * The sample was provided by Dr. H. Starkweather of E. I. du Pont de Nemours $\& \mathrm{Co}$.

[^2]:    ${ }^{a}$ The possible assignments are taken from Tadokoro et al. (14).
    ${ }^{b} \tau$, torsion; $\delta$, bending; $\nu$, stretching; $r$, rocking.

[^3]:    * Provided by Dr. H. Starkweather of E. I. du Pont de Nemours \& Co.
    $\dagger$ Provided by Dr. J. Powers of American Cyanamid Co.

[^4]:    - The sample of POM was provided by Dr. H. Starkweather from E. I. du Pont de Nemours \& Company.

